or 2 2 700 cket No.: 066821-0058

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant | : | Reed, John C., et al.         | Customer No.: 41552    |
|-----------|---|-------------------------------|------------------------|
| Appl. No. | : | 10/748,128                    | Confirmation No.: 1734 |
| Filed     | : | December 24, 2003             |                        |
| Title     | : | METHODS AND COMPOSITIONS FOR  |                        |
|           |   | DEREPRESSION OF IAP-INHIBITED | · ·                    |
|           |   | CAPASE                        |                        |
|           |   |                               |                        |
| Grp./A.U. | : | 1646                          |                        |
| Examiner: |   | To be Assigned                |                        |
|           |   |                               |                        |

## POWER OF ATTORNEY FOR PATENT APPLICATION BY ASSIGNEE

Assignee Torrey Pines Institute for Molecular Studies, is the owner of the entire right, title and interest of the above-identified application, and any subsequently filed divisional, continuation, or reissue application, including international and foreign applications claiming priority thereto.

The undersigned Assignee hereby appoints the following attorney(s) and/or agent(s): Deborah L. Cadena, Reg. No. 44048; Cathryn A. Campbell, Reg. No. 31815; Andrea L. Gashler, Reg. No. 41029; David A. Gay, Reg. No. 39200; Matt V. Grumbling, Reg. No. 44427; Astrid R. Spain, Reg. No. 47956 all of

McDERMOTT WILL & EMERY LLP 4370 La Jolla Village Drive, Suite 700 San Diego, CA 92122

Direct Telephone Calls to: Telephone 858.535.9001

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

Serial No.: 10/748,128

| The undersigned is authorized | l to sign on b | ehalf of the Assignee. |
|-------------------------------|----------------|------------------------|
|-------------------------------|----------------|------------------------|

Signature: Performance

Name (typed): reggy 0+zke

Title: Chief Operating Officer

Assignee: Torrey Pines Institute for Molecular Studies

Date: 8/13/04



## STATEMENT UNDER 37 C.F.R. § 3.73(b)

Title of Application

: METHODS AND COMPOSITIONS FOR

DEREPRESSION OF IAP-INHIBITED CASPASE

Application Serial No.

: 10/748,128

Filed

December 24, 2003

Inventor(s)

Reed et al.

Attorney Client-Matter No.

: 66821-058

The Burnham Institute, a non-profit organization, states that it is the co-assignee with Torrey Pines Institute for Molecular Studies of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the application. A copy of the executed assignment, submitted for recording, is attached hereto as documentary evidence of the chain of title to the assignee.

The undersigned is empowered to sign this statement on behalf of the assignee.

Date:

8/27/2004

Signature:

Name:

John Campbell

Title:

Director of Intellectual Property

The Burnham Institute

10901 North Torrey Pines Road

La Jolla, California 92037

Docket No.: 066821-0058



## **ASSIGNMENT**

WHEREAS WE, John C. Reed of Rancho Santa Fe, California and Kate Welsh of San Diego, California respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled METHODS AND COMPOSITIONS FOR DEREPRESSION OF IAP-INHIBITED CAPASE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on December 24, 2003, and identified by United States Serial No. 10/748,128;

AND WHEREAS, The Burnham Institute, a corporation of the State of California and having an address of 10901 North Torrey Pines Road, La Jolla, California 92037 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto The Burnham Institute, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

|          | AND V   | We requ  | lest the      | Commissi   | oner for | Patents and | Tradem        | arks to  | issue any    | Letters Pat  | ent of the |
|----------|---------|----------|---------------|------------|----------|-------------|---------------|----------|--------------|--------------|------------|
| United   | States  | which    | may           | be issued  | for said | l invention | to sai        | d The    | Burnham      | Institute,   | its legal  |
| •        |         | -        |               | •          |          | e owner of  | he entir      | e right, | title and in | nterest in a | nd to said |
| patent a | and the | inventic | n cove        | red thereb | y.       |             |               |          |              |              |            |
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| COUNTY      | OF Lan lugs   | )   |
| appeared J  | ohn C. Reed, to me kn<br>, and acknowledged to                                  | of September, 2004, before me personally nown to be the person named in and who executed the above me that he/she executed the same for the uses and purposes |
|             | TRAM THI QUYNH LE Commission # 1451741 Notary Public - California               | Notary Public Stampung  |
|             | San Diego County<br>by Comm. Expires Nov 18, 2007                               | My commission expires   |
| STATE OI    | F <u>California</u><br>OF <u>San Diego</u>                                      | )<br>) SS:<br>)   |
| On          | this 24 th day  | of <u>August</u> , 2004, before me personally   |
|             | , and acknowledged to   | we that he/she executed the same for the uses and purposes  |
| therein set | iorin.  | Sampuph   |
| SEAL        | TRAM THI QUYNH LE<br>Commission # 1451741                                       | Notary Public   |
|             | Notary Public - California<br>San Diego County<br>by Comm. Expires Nov 18, 2007 | My commission expires $11/18/2007$  |

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## STATEMENT UNDER 37 C.F.R. § 3.73(b)

Title of Application

: METHODS AND COMPOSITIONS FOR

DEREPRESSION OF IAP-INHIBITED CASPASE

Application Serial No.

: 10/748,128

Filed

December 24, 2003

Inventor(s)

Reed et al.

Attorney Client-Matter No.

66821-058

Torrey Pines Institute for Molecular Studies, a non-profit organization, states that it is the co-assignee with The Burnham Institute of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the application. A copy of the executed assignment, submitted for recording, is attached hereto as documentary evidence of the chain of title to the assignee.

The undersigned is empowered to sign this statement on behalf of the assignee.

Date: 8/11/04

Signature:

Name:

Title:

Torrey Pines Institute for Molecular Studies

3550 General Atomics Court San Diego, California 92121 Docket No.: 066821-0058



## **ASSIGNMENT**

WHEREAS WE, Richard A. Houghten of Solana Beach, California, Adel Nefzi of San Diego, California, John M. Ostresh of Encinitas, California and Clemencia Pinilla of Cardiff, California, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled METHODS AND COMPOSITIONS FOR DEREPRESSION OF IAP-INHIBITED CAPASE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on December 24, 2003, and identified by United States Serial No. 10/748,128;

AND WHEREAS, Torrey Pines Institute for Molecular Studies, a corporation of the State of California and having an address of 3550 General Atomics Court, San Diego, California 92121 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto Torrey Pines Institute for Molecular Studies, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said Torrey Pines Institute for Molecular Studies, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

| 09/11/64         | POLO                |
|------------------|---------------------|
| Date / / 04 / 04 | Richard A. Houghten |
| Date / /         | Adel Nefzi          |

|  |   | 9/14/04  | ·.               | John                              | М.                 | Dolrest             |
|--|---|--|------------------|-----------------------------------|--------------------|---------------------|
|  | Date  |  |                  | John M. Ostresh                   |                    |                     |
|  | Date  | 9/16/04  |                  | Clemencia Pinille<br>PMONCI       | a Tiv              | illa                |
|  |   | 0.4  |                  |                                   |                    |                     |
|  | STATE OF _  |  | _)<br>^) SS:     |                                   |                    |                     |
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|  | appeared Rich   | day on ard A. Houghten, to nent, and acknowledge in set forth.     | me known         | to be the person na               | ımed in ar         | nd who executed the |
| 7~   | SAMANITUA AA  |  | S                | pice                              |                    |                     |
|  | Notary Publican Dieg  | ANN MARCRUM 1 # 1421416 c - California  io County 198 May 31, 2007 | Notary Pu        | blic<br>ission expires <u>5</u> / | 31/0               | 1                   |
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| •  | COUNTY OF   | SD   | ) SS:<br>_)      |                                   |                    |                     |
|  |   | l Nefzi, to me know<br>ad acknowledged to n                        |                  | person named in                   | and who            |                     |
| NAME OF THE PERSON OF THE PERS | SAMANTHA MA<br>Commission<br>Plotary Public<br>San Diego<br>My Comm. Expin          | # 1421416<br>c - California<br>o County<br>r                       | Notary Pu        |                                   | 10.10              |                     |
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|  | COUNTY OF   | SD   | ) SS:<br>_)      |                                   |                    |                     |
|  | On this   | s16day o   | <sub>f</sub> Sep | Т.                                | , 20 <b>04</b> , t | efore me personally |
|  |   | M. Ostresh, to me kr<br>id acknowledged to n<br>ih.                |                  | _                                 |                    |                     |
|  | SAMANTHA MANN<br>S Commission #<br>Notary Public -<br>San Diego<br>My Comm. Expires | : 1421410 2<br>. Calitomia \$<br>. County                          | Notary Pul       | blic 5/31/c                       | 77                 |                     |
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant           | : | Reed, John C., et al.   | Customer No.: 41552    |
|---------------------|---|---|------------------------|
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| Title               | : | METHODS AND COMPOSITIONS FOR<br>DEREPRESSION OF IAP-INHIBITED<br>CAPASE |                        |
| Grp./A.U. Examiner: | : | 1646 To be Assigned   | -<br>-                 |
| DAMINIOT.           | Ė | 10 de Masigneu  | -                      |

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Assignee The Burnham Institute, is the owner of the entire right, title and interest of the aboveidentified application, and any subsequently filed divisional, continuation, or reissue application, including international and foreign applications claiming priority thereto.

The undersigned Assignee hereby appoints the following attorney(s) and/or agent(s): Deborah L. Cadena, Reg. No. 44048; Cathryn A. Campbell, Reg. No. 31815; Andrea L. Gashler, Reg. No. 41029; David A. Gay, Reg. No. 39200; Matt V. Grumbling, Reg. No. 44427; Astrid R. Spain, Reg. No. 47956 all of

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

Serial No.: 10/748,128

The undersigned is authorized to sign on behalf of the Assignee.

Signature:

Name (typed):

John Campbell

Title:

Director of Intellectual Property

Assignee:

The Burnham Institute

Date:

8 27/2004